Securities Board of Nepal Regulation Department Mutual Fund Scheme Pipeline Status

Fiscal Year 2081/82

Under Preliminary Review

As on 2081/12/17

Himalayan Dirghakalin Bachat Yojana Himalayan (Open End) Himalayan Mutual Fund 100.000.000 1,000.000.000 Himalayan Capital Limited 2080/12/19 2081/08/27 1 NiC ASIA Equity Linked Investment Scheme (Open End) Nic Asia Bank Mutual Fund 100.000,000 1,000,000,000 Nic Asia Capital Limited 2080/03/31 2081/09/25 Total S.N. Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Comment Reply Processing Status 1 NI 31(Open End) Nabil Mutual Fund 15,000,000 150,000,000 Nabil Investment Banking Lid. 2081/03/18 2081/09/29 Reply received on 20 2 Global IME Samunnat Yojana -II Global IME 120,000,000 1,200,000,000 Global IME Capital Limited 2079/12/19 2080/09/11 2081/10/12 Additional document 2081/10/04. 2 Citizens Sadabahar Yojana (Open End) Citizens Mutual Fund 50,000,000 1,850,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/15 2081/10/12 Reply received on 20 3 Citizens Sadabahar Yojana (Open End) 185,000,000 1,850,000,000 Citizens Capital Limited 2081/10/15 2081/10/15 <t< th=""><th>S.N. Name of Scheme</th><th>Name of Fund</th><th>No. of Units</th><th>Amount of Issue</th><th>Fund Manager</th><th>Date of Application</th><th></th><th>Pro</th><th>cessing Status</th></t<>	S.N. Name of Scheme	Name of Fund	No. of Units	Amount of Issue	Fund Manager	Date of Application		Pro	cessing Status
2 Citzens Santuit Yojana (Closed End) Fund 100,000,000 Citzens Capital Limited 2081/09/19 3 Sanima Equity Fund II (Closed End) Sanima Mutual 100,000,000 3,000,000 Sanima Capital Limited 2081/10/04 Total 300,000,000 3,000,000,000 Sanima Capital Limited 2081/10/04 5.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Comment Processing Status 1 Himalayan Dirghakalin Bachat Yojan Himalayan 100,000,000 1,000,000,000 Nic Asia Bank 100,000,000 Nic Asia Capital Limited 208/03/31 2081/09/25 2 NIC ASIA Equity Linked Investment Scheme (Open End) Nic Asia Bank 100,000,000 Nic Asia Capital Limited 208/03/31 2081/09/25 Processing Status 5 Comment Cereta Name of Scheme Name of Units Amount of Issue Fund Manager Date of Application Comment Processing Status 1 N131(Open End) Nabil Mutual Fund 120,000,000 Iso,000,000 Citzens Capital Limited 209/10/15 2081/07/2 2081/07/2 Add	1 RBB Focus 40 (Closed End)		100,000,000	1,000,000,000		2081/09/14			
3 Samma Equity Fund II (Closed end) Fund 100,000,000 1,000,000,000 Samma Capital Limited 2081/17/004 Total 300,000,000 Reviewed and Comment Sent S.M. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Processing Status 1 Himalayan Dirghakalin Bachat Yojana Himalayan Mutual Fund 100,000,000 1,000,000,000 Himalayan Capital Limited 2080/12/19 2081/08/27 Processing Status 2 NIC ASIA Equity Linked Investment Nic Asia Bank 100,000,000 1,000,000,000 Nic Asia Capital Limited 2080/03/31 2081/09/25 Status 5 Scheme (Open End) Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Comment Reply 1 N131(Open End) Nabil Mutual 15,000,000 150,000,000 Ltd. 2079/12/16 2081/06/18 2081/09/13 2081/09/13 2081/09/13 2081/09/13 2081/06/18 2081/09/13 2081/09/13 2081/09/13 2081/09/13 2081/06/18 2081/09/13 2081/01/12 Additional document <td>2 Citizens Santulit Yojana (Closed End)</td> <td></td> <td>100,000,000</td> <td>1,000,000,000</td> <td>Citizens Capital Limited</td> <td>2081/09/19</td> <td></td> <td></td> <td></td>	2 Citizens Santulit Yojana (Closed End)		100,000,000	1,000,000,000	Citizens Capital Limited	2081/09/19			
Reviewed and Comment Sent Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Date of Comment Processing Status 1 Himalayan Dirghakalin Bachat Yojana (Open End) Himalayan Mutual Fund 100,000,000 1,000,000,000 Himalayan Capital Limited 2080/12/19 2081/08/27 2 NIC ASIA Equity Linked Investment Scheme (Open End) Nic Asia Bank Mutual Fund 100,000,000 Nico Asia Capital Limited 2080/03/31 2081/08/27 5 Nico Asia Bank Mutual Fund 100,000,000 1,000,000,000 Nico Asia Capital Limited 2080/03/31 2081/09/25 6 Total 200,000,000 2,000,000,000 Nico Asia Capital Limited 2080/03/31 2081/09/25 1 NI 31(Open End) Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Processing Status 2 Global IME (Close End) Nabil Mutual Fund 120,000,000 Li.d. 2079/12/16 2080/09/13 2081/01/12 2081/01/12 2081/01/12 2081/01/12 2081/01/12 2081/01/12	3 Sanima Equity Fund II (Closed end)		100,000,000	1,000,000,000	Sanima Capital Limited	2081/10/04			
S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Date of Reply Date of Reply Date of Comment Date of Comment <td>Total</td> <td></td> <td>300,000,000</td> <td>3,000,000,000</td> <td></td> <td></td> <td></td> <td></td> <td></td>	Total		300,000,000	3,000,000,000					
S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Application Comment Processing Status 1 Himalayan Dirghakalin Bachat Yojana Himalayan Mutual Fund 100,000,000 1,000,000,000 Himalayan Capital Limited 2080/12/19 2081/08/27 2081/08/27 2 NIC ASIA Equity Linked Investment Scheme (Open End) Nic Asia Bank Mutual Fund 100,000,000 1,000,000,000 Nic Asia Capital Limited 2080/03/31 2081/09/25 Processing Status 3 Nic Asia Bank Mutual Fund 100,000,000 1,000,000,000 Nic Asia Capital Limited 2080/03/31 2081/09/25 Processing Status 1 NI 31(Open End) Nabil Mutual Fund 15,000,000 150,000,000 Nabil Investment Banking Ltd. 2079/12/16 2080/06/18 2080/09/13 2080/09/13 2080/09/13 2080/09/13 2080/09/12 Additional document 2081/06/02 2081/06/12 2081/01/12 Additional document 2081/06/02 2081/01/12 Additional document 2081/06/02 2081/01/12 Additional document 2081/06/02 2081/01/12 2081/01/12 Reply received on 2 2 Global IME Global IME Sanduahar Yojana (Open End) 185,000,000 50	Reviewed and Comment Sent								
1 (Open End) Mutual Fund 100,000,000 1,000,000,000 Primalayan Capital Limited 208/08/27 2 NIC ASIA Equity Linked Investment Scheme (Open End) Nic Asia Bank 100,000,000 1,000,000,000 Nic Asia Capital Limited 208/09/31 208/09/25 Total 200,000,000 2,000,000,000 2,000,000,000 Processing Status 3 Ni 31(Open End) Nabil Mutual Fund 15,000,000 150,000,000 Nabil Investment Banking Ltd. 207/12/16 208/06/18 208/09/13 208/09/14 208/09/14 208/09/14 2	S.N. Name of Scheme	Name of Fund	No. of Units	Amount of Issue	Fund Manager				Processing Status
2 Scheme (Open End) Mutual Fund 100,000,000 1,000,000,000 Net Kala Capital Limited 208/03/31 208/09/25 Total 200,000,000 2,000,000,000 2,000,000,000 Processing Status S.N. Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Processing Status 1 NI 31(Open End) Nabil Mutual Fund 15,000,000 150,000,000 Nabil Investment Banking Limited 2079/12/16 2080/09/18 2080/09/18 2080/09/18 2080/09/19 Reply received on 2C 2 Global IME Samunat Yojana -III Global IME Mutual Fund 120,000,000 1,200,000,000 Global IME Capital Limited 2079/12/19 2080/09/10 2081/10/12 Additional document 2081/10/04. 3 Citizens Sadabahar Yojana (Open End) Citizens Mutual 50,000,000 1,850,000,000 1,850,000,000 2081/06/02 2081/10/15 2081/10/12 Reply received on 2C 3 Citizens Sadabahar Yojana (Open End) 185,000,000 1,850,000,000 1,850,000,000 2081/10/15 2081/10/12 Reply received on 2C S.N. Name of F			100,000,000	1,000,000,000	Himalayan Capital Limited	2080/12/19	2081/08/27		
Replied and Under Review S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Date of Reply Processing Status 1 NI 31(Open End) Nabil Mutual Fund 15,000,000 150,000,000 Nabil Investment Banking Ltd. 2079/12/16 2080/09/13 2080/09/13 Reply received on 20 2 Global IME Samunnat Yojana -II (Close End) Global IME Mutual Fund 120,000,000 1,200,000,000 Global IME Capital Limited 2079/12/19 2080/09/11 2081/01/12 Additional document 2081/10/24 3 Citizens Sadabahar Yojana (Open End) Citizens Mutual Fund 50,000,000 500,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/22 Reply received on 20 Valual Fund Fund 120,000,000 1,200,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/22 Reply received on 20 Valual Fund 120,000,000 1,850,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/24 Reply rec					Nic Asia Capital Limited	2080/03/31	2081/09/25		
S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Date of Reply Date of R			200,000,000	2,000,000,000					
S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Application Comment Reply Processing Status 1 NI 31(Open End) Nabil Mutual Fund 15,000,000 150,000,000 Nabil Investment Banking Ltd. 2079/12/16 2080/06/18 2080/09/18 2080/09/18 2080/09/18 2081/03/18 2081/03/18 2081/03/18 2081/03/18 2081/03/18 2081/03/18 2081/03/18 2081/01/12 Additional document 2081/10/04. 2 Global IME (Close End) Global IME Mutual Fund 120,000,000 1,200,000,000 Global IME Capital Limited 2079/12/19 2081/01/12 2081/10/12 Additional document 2081/10/04. 3 Citizens Sadabahar Yojana (Open End) Citizens Mutual Fund 50,000,000 500,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/22 Reply received on 20 4 Total 185,000,000 1,850,000,000 Global IME 2081/06/02 2081/10/15 2081/10/22 Reply received on 20 5.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment	Replied and Under Review								
1 NI 31(Open End) Fund 15,000,000 150,000,000 Ltd. 2079/12/16 2081/03/18 2081/09/29 Reply received on 20 2 Global IME Samunnat Yojana -II Global IME 120,000,000 1,200,000,000 Global IME Capital Limited 2079/12/19 2080/09/01 2081/01/12 Additional document 2081/10/04. 3 Citizens Sadabahar Yojana (Open End) Citizens Mutual Fund 50,000,000 500,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/22 Reply received on 20 4 Total 185,000,000 500,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/22 Reply received on 20 4 Total 185,000,000 1,850,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/22 Reply received on 20 5 N. Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Preply Processing Status 1 Prabhu Systematic Investment Prabhu Mutual 40,000,000 400,000,000 Prabhu Capital Ltd 2070/07/20 2080/05/10 2080/07/22 Co	S.N. Name of Scheme	Name of Fund	No. of Units	Amount of Issue	Fund Manager				Processing Status
2 (Close End) Mutual Fund 120,000,000 1,200,000,000 Global IME Capital Limited 20/9/12/19 2080/09/01 2081/01/12 2081/10/04. 3 Citizens Sadabahar Yojana (Open End) Citizens Mutual Fund 50,000,000 500,000,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/22 Reply received on 2 Total 185,000,000 1,850,000,000 1,850,000,000 Processing Status S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Date of Reply Processing Status 1 Prabhu Systematic Investment Prabhu Mutual 40,000,000 400,000,000 Brabbu Capital Limited 2070/07/20 2080/07/20 2080/07/23 Comment letter sent	1 NI 31(Open End)		15,000,000	150,000,000		2079/12/16			Reply received on 2081/09/29.
3 End) Fund 50,000,000 S00,000 Citizens Capital Limited 2081/06/02 2081/10/15 2081/10/22 Reply received on 2 Total 185,000,000 1,850,000,000 1,850,000,000 1 <	2 (Close End)	Mutual Fund	120,000,000	1,200,000,000	Global IME Capital Limited	2079/12/19	2080/09/01	2081/01/12	Additional document submitted on 2081/10/04.
Waiting for Reply and Compliance S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Application Date of Comment Date of Reply Processing Status 1 Prabhu Systematic Investment Prabhu Mutual 40.000.000 Rrabhu Capital Ltd 2070/07/30 2080/05/40 2080					Citizens Capital Limited	2081/06/02	2081/10/15	2081/10/22	Reply received on 2081/10/22.
S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Date of Date of Date of Reply Processing Status Prabhu Systematic Investment Prabhu Mutual 40.000.000 model and 000.000 Repty Capital Ltd 2070/07/30 2090/05/10 2090/07/32 Comment letter sent			185,000,000	1,850,000,000					
S.N. Name of Scheme Name of Fund No. of Units Amount of Issue Fund Manager Application Comment Reply Processing Status	Waiting for Reply and Complia	ance							
	S.N. Name of Scheme	Name of Fund	No. of Units	Amount of Issue	Fund Manager				Processing Status
			40,000,000	400,000,000	Prabhu Capital Ltd.	2079/07/30	2080/05/10	2080/07/23	Comment letter sent on 2081/09/17 . Reply received on 2081/10/11.
Total 40,000,000 400,000	Total		40,000,000	400,000,000					

Grand Total