

## Research & Supervision Department

Merchant Banking Reporting Status

Status of Half Yearly Report Submission 2073/74

(After 2 months of End of Poush)

S.N.	Listed Company	Date to be Submitted (BS)	Date of Submission (BS)	Report Submission Status			Remarks, if any
				Half Yearly Unaudited Financial Report	Financial Profile As per Annexure 19	Half Yearly Transaction Report As per Annexure 1 to 17	
1	Citizen Investment Trust	2073-11-30	2073-11-01	Timely Submission	Late Submission	Late Submission	
2	NCM Merchant Banking Ltd	2073-11-30	2073-12-18	Late Submission	Timely Submission	Timely submission	
3	NIBL Capital Ltd.	2073-11-30	2073-12-21	Late Submission	Late Submission	Timely submission	
4	Global IME Capital Ltd.	2073-11-30	2073-11-30	Timely Submission	Timely Submission	Late Submission	
5	Laxmi Capital Market Ltd	2073-11-30	2073-10-23	Timely Submission	Timely Submission	Timely submission	
6	Civil Capital Market Ltd.	2073-11-30	2073-12-25	Late Submission	Timely Submission	Late Submission	
7	Parbhu Capital	2073-11-30	2073-11-30	Timely Submission	Timely Submission	Timely submission	
8	Nabil Investment Banking Ltd	2073-11-30	2073-11-30	Timely Submission	Timely Submission	Timely submission	
9	Beed Invest Ltd.	2073-11-30	2073-11-30	Timely Submission	Timely Submission	Timely submission	
10	Vibor Capital Ltd.	2073-11-30	2073-12-06	Late Submission	Late Submission	Late Submission	
11	ACE Capital Ltd.	2073-11-30	2073-12-09	Late Submission	Late Submission	Late Submission	
12	NMB Capital Ltd.	2073-11-30	2073-11-26	Timely Submission	Timely Submission	Timely submission	
13	Siddhartha Capital Ltd.	2073-11-30	2073-11-19	Timely Submission	Timely Submission	Timely submission	
14	National Merchant Banker Ltd.	2073-11-30	2073-11-27	Timely Submission	Timely Submission	Timely submission	
15	Kriti Capital and Investments Ltd.	2073-11-30	2073-11-26	Timely Submission	Timely Submission	Timely submission	
16	Samriddhi Capital Lts.	2073-11-30	2073-12-28	Late Submission	Late Submission	Late Submission	Request for time extension & not Permitted as there is no Provison in the ACT.
17	Provident Merchant Bank Ltd.	2073-11-30	N/A	N/A	N/A	N/A	Formally operated from 2073-10-28
18	CBIL Capital Ltd.	2073-11-30	2073-11-30	Timely Submission	Timely Submission	Timely submission	
19	Sanima Capital Ltd.	2073-11-30	2073-11-30	Timely Submission	Timely Submission	Timely submission	
20	Kathmandu Capital	2073-11-30	2073-12-16	Late Submission	Timely Submission	Timely submission	
21	Nepal SBI Merchant Banking Ltd.	2073-11-30	2073-11-08	Timely Submission	Timely Submission	Late Submission	
22	Reliable Capital Ltd.	2073-11-30	2073-12-08	Late Submission	Late Submission	Late Submission	
23	NIC Asia Capital Ltd.	2073-11-30	N/A	N/A	N/A	N/A	Licensed as on 2073-11-08

\* As per the regulation, Merchant Banker are required to submit Audited Report and Annual Report within the time stipulated.

Thus the submission of Management and Unaudited Report are not considered to be complied with provision of the regulation.