

Securities Board of Nepal

Research & Supervision Department

Securities Business Person Supervision Section

Merchant Banking Reporting Status

Status of Half Yearly Report Submission 2073/74

(After 60 days of Asadh End)

S.N.	Name of Merchant Banker	Date to be Submitted (BS)	Date of Submission	Report Submission Status			Remarks
				Half Yearly Unaudited Financial Report	Financial Profile As per Annexure 19	Half Yearly Transaction Report As per Annexure 1 to 17	
1	Citizen Investment Trust	2074.05.31	2074.05.30	Timely Submission	Timely Submission	Timely Submission	
2	Sunrise Capital Ltd	2074.05.31	2074.05.26	Timely Submission	Timely Submission	Timely Submission	
3	NIBL Capital Ltd.	2074.05.31	2074.05.27	Timely Submission	Timely Submission	Timely Submission	
4	Global IME Capital Ltd.	2074.05.31	2074.05.30	Timely Submission	Timely Submission	Timely Submission	
5	Laxmi Capital Market Ltd	2074.05.31	2074.05.27	Timely Submission	Timely Submission	Timely Submission	
6	Civil Capital Market Ltd.	2074.05.31	2074.05.25	Timely Submission	Timely Submission	Timely Submission	
7	Parbhu Capital	2074.05.31	2074.05.14	Timely Submission	Timely Submission	Timely Submission	
8	Nabil Investment Banking Ltd	2074.05.31	2074.05.28	Timely Submission	Timely Submission	Timely Submission	
9	Beed Invest Ltd.	2074.05.31		Not Submitted	Not Submitted	Not Submitted	
10	Vibor Capital Ltd.	2074.05.31	2074.07.01	Late Submission	Late Submission	Late Submission	
11	ACE Capital Ltd.	2074.05.31	2074.05.30	Timely Submission	Timely Submission	Timely Submission	
12	NMB Capital Ltd.	2074.05.31		Timely Submission	Timely Submission	Timely Submission	
13	Siddhartha Capital Ltd.	2074.05.31	2074.05.27	Timely Submission	Timely Submission	Timely Submission	
14	National Merchant Banker Ltd.	2074.05.31	2074.06.08	Late Submission	Late Submission	Late Submission	
15	Kriti Capital and Investments Ltd.	2074.05.31	2074.05.28	Timely Submission	Timely Submission	Timely Submission	
16	Samriddhi Capital Lts.	2074.05.31	2074.06.08	Late Submission	Late Submission	Late Submission	
17	CBIL Capital Ltd.	2074.05.31	2074.06.23	Late Submission	Late Submission	Late Submission	
18	Sanima Capital Ltd.	2074.05.31	2074.05.21	Timely Submission	Timely Submission	Timely Submission	
19	Kathmandu Capital	2074.05.31	2074.04.26	Timely Submission	Timely Submission	Timely Submission	
20	Nepal SBI Merchant Banking Ltd.	2074.05.31	2074.05.19	Timely Submission	Timely Submission	Timely Submission	
21	Reliable Capital Ltd.	2074.05.31	2074.06.08	Late Submission	Late Submission	Late Submission	
22	NIC Asia Capital Ltd.	2074.05.31	2074.05.29	Timely Submission	Timely Submission	Timely Submission	
23	Provident Merchant Bank Ltd.	2074.05.31	2074.06.31	Late Submission	Late Submission	Late Submission	
24	RBB Merchant Banking Ltd.	2074.05.31	2074.05.26	Timely Submission	Timely Submission	Timely Submission	

Types of Report	Timely Submission	Late submission	Not submitted	Total
Half Yearly Unaudited Financial Report	17	6	1	24
Financial Profile as per Annexure 19	17	6	1	24
Half Yearly Merchant Banking Transaction Report as per Annexure 1 to 17	17	6	1	24
Total				