

Securities Board of Nepal

Research & Supervision Department

Securities Business Person Supervision Section
Merchant Banking Reporting Status
Status of Annual Report Submission 2073/74
(After 3 months of Asadh End)

S.N.	Name of Merchant Banker	Date to be Submitted (BS)	Date of Submission	Report Submission Status		Remarks
				Annual Audited Report	Long Form Audit Report As per Annexure 18	
1	Citizen Investment Trust	2074.06.31		Not Submitted	Not Submitted	
2	Sunrise Capital Ltd	2074.06.31	2074.05.26	Timely Submission	Timely Submission	
3	NIBL Capital Ltd.	2074.06.31	2074.05.16	Timely Submission	Timely Submission	
4	Global IME Capital Ltd.	2074.06.31	2074.05.04	Timely Submission	Timely Submission	
5	Laxmi Capital Market Ltd	2074.06.31	2074.05.29	Timely Submission	Late Submission	LFAR received on 2074.07.01
6	Civil Capital Market Ltd.	2074.06.31	2074.06.10	Timely Submission	Late Submission	LFAR received on 2074.07.16
7	Parbhu Capital	2074.06.31	2074.05.29	Timely Submission	Timely Submission	
8	Nabil Investment Banking Ltd	2074.06.31	2074.05.08	Timely Submission	Timely Submission	
9	Beed Invest Ltd.	2074.06.31		Not Submitted	Not Submitted	
10	Vibor Capital Ltd.	2074.06.31	2074.06.26	Timely Submission	Late Submission	LFAR submitted on 2074.07.01
11	ACE Capital Ltd.	2074.06.31	2074.05.30	Timely Submission	Timely Submission	
12	NMB Capital Ltd.	2074.06.31	2074.06.08	Timely Submission	Timely Submission	
13	Siddhartha Capital Ltd.	2074.06.31	2074.05.27	Timely Submission	Timely Submission	
14	National Merchant Banker Ltd.	2074.06.31	2074.06.29	Timely Submission	Timely Submission	
15	Kriti Capital and Investments Ltd.	2074.06.31	2074.06.31	Timely Submission	Timely Submission	
16	Samridhhi Capital Lts.	2074.06.31	2074.07.12	Late Submission	Late Submission	applied for time extension
17	CBIL Capital Ltd.	2074.06.31	2074.07.01	Late Submission	Late Submission	
18	Sanima Capital Ltd.	2074.06.31	2074.06.18	Timely Submission	Late Submission	LFAR received on 2074.07.06
19	Kathmandu Capital	2074.06.31	2074.04.26	Timely Submission	Timely Submission	
20	Nepal SBI Merchant Banking Ltd.	2074.06.31	2074.07.30	Late Submission	Late Submission	applied for time extension
21	Reliable Capital Ltd.	2074.06.31	2074.05.07	Timely Submission	Timely Submission	
22	NIC Asia Capital Ltd.	2074.06.31	2074.05.29	Timely Submission	Timely Submission	
23	Provident Merchant Bank Ltd.	2074.06.31		Not Submitted	Not Submitted	
24	RBB Merchant Banking Ltd.	2074.06.31		Not Submitted	Not Submitted	applied for time extension

Types of Report	Timely Submission	Late submission	Not submitted	Total
Annual Audited Report	17	3	4	24
Long Form Audit Report As per Annexure 18	13	7	4	24

* As per the regulation, Merchant Banker are required to submit Audited Report and Annual Report within the time stipulated.
Thus the submission of Management and Unaudited Report are not considered to be complied with provision of the regulation.