

Securities Board of Nepal

Research & Supervision Department

Supervision Division

Securities Business Person Supervision Section

Merchant Banking Reporting Status

Status of Annual Report Submission 2073/74

(After 3 months of Asadh End)

S.N.	Name of Merchant Banker	Date to be Submitted (BS)	Date of Submission	Report Submission Status		Remarks
				Annual Audited Report	Long Form Audit Report As per Annexure 18	
1	Citizen Investment Trust	2074.06.31		Not Submitted	Not Submitted	ask for clarification for not submitting reports within the stipulated time
2	Sunrise Capital Ltd	2074.06.31	2074.05.26	Timely Submission	Timely Submission	
3	NIBL Capital Ltd.	2074.06.31	2074.05.16	Timely Submission	Timely Submission	
4	Global IME Capital Ltd.	2074.06.31	2074.05.04	Timely Submission	Timely Submission	
5	Laxmi Capital Market Ltd	2074.06.31	2074.05.29	Timely Submission	Timely Submission	
6	Civil Capital Market Ltd.	2074.06.31	2074.06.10	Timely Submission	Timely Submission	
7	Parbhu Capital	2074.06.31	2074.05.29	Timely Submission	Timely Submission	
8	Nabil Investment Banking Ltd	2074.06.31	2074.05.08	Timely Submission	Timely Submission	
9	Beed Invest Ltd.	2074.06.31		Not Submitted	Not Submitted	ask for clarification for not submitting reports within the stipulated time
10	Vibor Capital Ltd.	2074.06.31	2074.06.26	Timely Submission	Timely Submission	
11	ACE Capital Ltd.	2074.06.31	2074.05.30	Timely Submission	Timely Submission	
12	NMB Capital Ltd.	2074.06.31	2074.06.08	Timely Submission	Timely Submission	
13	Siddhartha Capital Ltd.	2074.06.31	2074.05.27	Timely Submission	Timely Submission	
14	National Merchant Banker Ltd.	2074.06.31	2074.06.29	Timely Submission	Timely Submission	
15	Kriti Capital and Investments Ltd.	2074.06.31	2074.06.31	Timely Submission	Timely Submission	
16	Samridhhi Capital Lts.	2074.06.31	2074.07.12	Timely Submission	Timely Submission	submitted within the time extended
17	CBIL Capital Ltd.	2074.06.31	2074.07.01	Late Submission	Late Submission	ask for clarification for not submitting reports within the stipulated time
18	Sanima Capital Ltd.	2074.06.31	2074.06.18	Timely Submission	Timely Submission	
19	Kathmandu Capital	2074.06.31	2074.04.26	Timely Submission	Timely Submission	
20	Nepal SBI Merchant Banking Ltd.	2074.06.31	2074.07.30	Timely Submission	Timely Submission	submitted within the time extended
21	Reliable Capital Ltd.	2074.06.31	2074.05.07	Timely Submission	Timely Submission	
22	NIC Asia Capital Ltd.	2074.06.31	2074.05.29	Timely Submission	Timely Submission	
23	Provident Merchant Bank Ltd.	2074.06.31	2074.10.17	Late Submission	Not Submitted	ask for clarification for not submitting reports within the stipulated time
24	RBB Merchant Banking Ltd.	2074.06.31	2074.09.12	Timely Submission	Timely Submission	submitted within the time extended

Types of Report	Timely Submission	Late submission	Not submitted	Total
Annual Audited Report	20	2	2	24
Long Form Audit Report As per Annexure 18	20	1	3	24

* As per the regulation, Merchant Banker are required to submit Audited Report and Annual Report within the time stipulated. Thus the submission of Management and Unaudited Report are not considered to be complied with provision of the regulation.