

**Surveillance Department**  
**Merchant Banking Reporting Status**  
**Status of Audited Report and Annual Report Submission 2072/73**  
(After 3 months from the expiry of F.Y.)

S.N.	Listed Company	Date to be Submitted (B.S.)	Date of Submission (B.S.)	Date to be Submitted (A.D.)	Date Of Submission (A.D.)	Status	Report	Remarks, if any
1	Citizen Investment Trust	2073-06-30	2073-07-12	2016/10/16	2016/10/28	Late Submission		
2	NCM Merchant Banking Ltd	2073-06-30	2073-05-15	2016/10/16	2016/08/31	Timely Submission	Audit Report	
3	NIBL Capital Ltd.	2073-06-30	2073-05-10	2016/10/16	2016/08/26	Timely Submission	Operation Repor, Audit reportt	
4	Global IME Capital Ltd.	2073-06-30	2073-05-23	2016/10/16	2016/09/08	Timely Submission		
5	Laxmi Capital Market Ltd	2073-06-30		2016/10/16		Not Submitted		Request for time Extension
6	Civil Capital Market Ltd.	2073-06-30	2073-06-14	2016/10/16	2016/08/30	Timely Submission	Financial Report (Audited)	
7	Parbhu Capital	2073-06-30	2073-08-13	2016/10/16	11/28/2016	Late Submission		Request for time Extension
8	Nabil Investment Banking Ltd	2073-06-30	2073-05-31	2016/10/16	2016/08/16	Timely Submission		
9	Beed Invest Ltd.	2073-06-30		2016/10/16	2016/10/28	Late Submission		
10	Vibor Capital Ltd.	2073-06-30	2073-08-05	2016/10/16	2016/11/20			Request Submitted (New)
11	ACE Capital Ltd.	2073-06-30	2073-06-11	2016/10/16	2016/09/27	Timely Submission		
12	NMB Capital Ltd.	2073-06-30	2073-08-01	2016/10/16	2016/11/16	Late Submission		Request for time Extension
13	Siddhartha Capital Ltd.	2073-06-30	2073-07-23	2016/10/16	2016/11/08	Late Submission		
14	National Merchant Banker Ltd.	2073-06-30	2073-06-13	2016/10/16	2016/09/29	Timely Submission		
15	Kriti Capital and Investments Ltd.	2073-06-30	2073-06-20	2016/10/16	2016/10/06	Timely Submission		
16	Equity and Assets Ltd.	2073-06-30	2073-04-27	2016/10/16	2016/08/11	Timely Submission	Audit Report	
17	Provident Merchant Bank Ltd.	2073-06-30		2016/10/16				
18	CBIL Capital Ltd.	2073-06-30		2016/10/16				
19	Sanima Capital Ltd.	2073-06-30		2016/10/16				

\* As per the regulation, Merchant Banker are required to submit Audited Report and Annual Report within the time stipulated.  
Thus the submission of Management and Unaudited Report are not considered to be complied with provision of the regulation.