Securities Board of Nepal

Supervision Department

$Merchant\ Banking\ Reporting\ Status$ Status of Audited Report and Annual Report\ Submission\ 2071/72

(After 3 months from the expiry of F.Y.)

Updated:2072/6/30

		Date to be	Date of	Date to be	Date Of		
S.N.	Listed Company	Submitted (BS)	Submission	Submitted	Submission	Status	Remarks
1	Citizen Investment Trust	2072-06-30		2015/10/17		Not Submitted	
2	NCM Merchant Banking Ltd	2072-06-30		2015/10/17		Not Submitted	
3	NIBL Capital Ltd.	2072-06-30	2072-06-11	2015/10/17	2015/09/28	Timely Submission	Annual Report
4	Global IME Capital Ltd.	2072-06-30	2072-05-27	2015/10/17	2015/09/13	Timely Submission	Annual Report
5	Laxmi Capital Market Ltd	2072-06-30		2015/10/17		Not Submitted	
6	Civil Capital Market Ltd.	2072-06-30	2072-06-06	2015/10/17	2015/09/23	Timely Submission	Audit Report
7	Growmore Merchant Banker Ltd.	2072-06-30		2015/10/17		Not Submitted	
8	Nabil Investment Banking Ltd	2072-06-30	2072-05-04	2015/10/17	2015/08/21	Timely Submission	Audit Report
9	Beed Invest Ltd.	2072-06-30		2015/10/17		Not Submitted	
10	Vibor Capital Ltd.	2072-06-30	2072-05-29	2015/10/17	2015/09/15	Timely Submission	Audit Report
11	ACE Capital Ltd.	2072-06-30		2015/10/17		Not Submitted	
12	NMB Capital Ltd.	2072-06-30		2015/10/17		Not Submitted	
13	Siddhartha Capital Ltd.	2072-06-30	2071-06-08	2015/10/17	2015/09/25	Timely Submission	Audit Report
14	National Merchant Banker Ltd.	2072-06-30		2015/10/17		Not Submitted	
15	Kriti Capital and Investments Ltd.	2072-06-30		2015/10/17		Not Submitted	
16	Equity and Assets Ltd.	2072-06-30		2015/10/17		Not Submitted	

^{*} As per the regulation, Merchant Banker are required to submit Audited Report and Annual Report within the time stipulated.

Thus the submission of Management and Unaudited Report are not considered to be complied with provision of the regulation.